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2 **The association between communication impairments and acquired**  
3 **alexithymia in chronic stroke patients**

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## 2 **Abstract**

3 Introduction: Language dysfunction has recently been suggested to be one route to  
4 alexithymia, an impairment in recognising and communicating one's own emotions.  
5 Neuropsychological evidence is needed to investigate the possibility that acquired  
6 language problems could underlie acquired alexithymia. Method: This project examined  
7 data from a large group of chronic stroke patients ( $N = 118$ ) to test whether self-reported  
8 or behavioural measures of language and communication problems were associated with  
9 alexithymia. We also examined the impact of hemisphere of damage on alexithymia.  
10 Results: We found no differences in alexithymia levels for patients with observed  
11 language impairments on brief tests of picture naming, comprehension and reading vs  
12 unimpaired patients. However, self-reported communication difficulties were found to  
13 be associated with higher scores of alexithymia, even after controlling for depression and  
14 anxiety. Patients with left versus right hemisphere damage did not differ in their  
15 alexithymia scores. Conclusions: We found partial support for the language hypothesis  
16 of alexithymia. We discuss potential reasons for the discrepant findings between the self-  
17 report and objective language measures, and suggest that self-report measures may be  
18 more sensitive to milder, more pragmatic language impairments, as opposed to the severe  
19 structural language impairments measured by the cognitive screening tests.

20 **Keywords:** Acquired brain injury; Language; Emotional awareness; Neuropsychology;  
21 Aphasia; Emotional impairments.

1 **The association between communication impairments and acquired**  
2 **alexithymia in chronic stroke patients**

3 Alexithymia is the term used to describe difficulties identifying and communicating one's  
4 own emotions (Taylor, Bagby, & Parker, 1991). It is best considered a sub-clinical trait (it is  
5 not a clinical condition in its own right), and is elevated across a range of psychiatric  
6 populations, including autism, eating disorders and schizophrenia (Hill, Berthoz, & Frith,  
7 2004; Schmidt, Jiwany, & Treasure, 1993; van 't Wout, Aleman, Bermond, & Kahn, 2007).  
8 In addition to a higher prevalence of alexithymia in these and other clinical groups,  
9 alexithymia has also been linked to well-being and emotion regulation in the general  
10 population (Honkalampi, Hintikka, Tanskanen, Lehtonen, & Viinamäki, 2000; Pandey,  
11 Saxena, & Dubey, 2011; Saxena, Dubey, & Pandey, 2011). Alexithymia has thus been  
12 considered a trans-diagnostic risk factor for poor emotional functioning (Valdespino,  
13 Antezana, Ghane, & Richey, 2017).

14         Given its predictive power for these outcomes, researchers have sought to understand  
15 the aetiology of alexithymia. The precise cognitive factors giving rise to alexithymia are a  
16 topic of intense scrutiny (Brewer, Cook, & Bird, 2016; Hobson, Brewer, Catmur, & Bird,  
17 2019). However, alexithymia represents dysfunction in what might be considered a set of  
18 higher-level skills; the abilities to recognise, discriminate, label and communicate one's  
19 emotional states. These skills would presumably rely on a variety of perceptual, cognitive and  
20 affective processes, and we might expect there to be different cognitive routes to alexithymia,  
21 whereby different deficits in processes required for effective emotional processing manifest  
22 in a similar alexithymic phenotype. Thus, impairments in any one of a number of cognitive  
23 processes may underlie alexithymia. It has been proposed that one such cognitive deficit that  
24 could result in alexithymia is language impairment (Hobson et al., 2019; Hobson et al.,  
25 2018).

## HOBSON-COMMUNICATION AND ACQUIRED ALEXITHYMIA

1           As described in Hobson et al (2019), one framework for investigating the nature of  
2 the association between language and alexithymia is to seek evidence from both  
3 developmental groups (such as developmental language disorder, or children born with  
4 hearing impairments) and populations with late-acquired difficulties (for example, patients  
5 who have developed aphasia following a traumatic brain injury or stroke). Bringing together  
6 findings from these two separate groups has been fruitful when attempting to understand the  
7 cognitive and neurobiological systems underpinning language (see Bishop, Nation, &  
8 Patterson, 2014). Indeed, combining evidence from groups with developmental language  
9 problems and groups with acquired language problems will shed light on a) whether language  
10 processes play a developmental role in alexithymia, and b) whether language processes are  
11 continuously required for emotion processing.

12           If the role of language in emotion processing is limited to a developmental role, then  
13 late-acquired language problems – such as those acquired after brain injury – should leave  
14 emotion processes untouched. In this vein, studies of patients with Traumatic Brain Injuries  
15 (TBI) have suggested a link between language processes and alexithymia that continues after  
16 development. Verbal abilities are correlated with alexithymia traits in TBI patients (Henry,  
17 Phillips, Crawford, Theodorou, & Summers, 2006), and patients with and without  
18 alexithymia differ significantly in their verbal ability scores (Wood & Williams, 2007). More  
19 recent investigations of TBI have found that acquired naming difficulties, and damage to  
20 regions of the inferior frontal gyrus considered to support language, are associated with  
21 alexithymia (Hobson et al., 2018).

22           Another population that could provide useful insight into the role of acquired  
23 language problems in alexithymia is stroke patients. Studying alexithymia in stroke could  
24 provide important insights into the neurobiological underpinnings of emotional deficits (see  
25 Ricciardi, Demartini, Fotopoulou, & Edwards, 2015), but such research could also be used to

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1 support better emotional outcomes in stroke survivors. Alexithymia has been suggested as an  
2 explanation for the socio-cognitive and socio-affective problems reported in stroke patients  
3 (Nijse, Spikman, Visser-Meily, de Kort, & van Heugten, 2019). Alexithymia may also have  
4 a role in a range of different mental health problems that frequently onset after stroke:  
5 alexithymia is a risk factor for post-stroke depression (Spalletta et al., 2001; Spalletta, Ripa,  
6 Bria, Caltagirone, & Robinson, 2006; Su, 2016), as also appears to be the case in short-term  
7 post-stroke PTSD (Wang, Chung, Hyland, & Bahkeit, 2011). Anxiety is a common mental  
8 health problem following stroke (Barker-Collo, 2007); as yet, it is unclear whether  
9 alexithymia predicts post-stroke anxiety as it does depression, but anxiety is predicted by  
10 alexithymia in other populations (e.g. Eizaguirre, de Cabezon, de Alda, Olariaga, & Juaniz,  
11 2004).

12         What factors appear to predict alexithymia in stroke survivors? Thus far, data have  
13 suggested that damage to the right hemisphere is more strongly associated with acquired  
14 alexithymia than left hemisphere damage (Spalletta et al., 2001, 2006). Spalletta et al (2006)  
15 compared alexithymic versus non-alexithymic stroke patients, and found that the alexithymic  
16 group were significantly more likely to have right hemisphere damage than the non-  
17 alexithymic group. An earlier paper (Spalletta et al., 2001) compared patients with right  
18 versus left hemisphere damage following stroke on their average alexithymia score, and  
19 categorization as non-alexithymic, borderline alexithymic or alexithymic (using conventional  
20 cut-offs). They reported that while 48% of right hemisphere damage patients were  
21 alexithymic, only 22% of patients with left hemisphere damage were alexithymic. However,  
22 these relative percentages reflect sample sizes of just 10 and 6 patients (of their total sample  
23 of 48 patients) respectively. Notwithstanding this issue, average continuous alexithymia score  
24 was significantly higher in the right hemisphere damaged group.

1            Together, these findings have been interpreted as supporting the theory that the right  
2 hemisphere is dominant for emotional processes (see Borod et al., 2010 for a review of  
3 evidence from patients with unilateral brain damage). Nonetheless, the left hemisphere  
4 appears to play a role in at least some elements of emotion processing; a meta-analysis of  
5 fMRI studies examining processing of emotional faces concluded that both hemispheres are  
6 activated during these tasks (Fusar-Poli et al., 2009). Indeed, given that the left hemisphere is  
7 typically dominant for language processes, the language hypothesis of alexithymia put  
8 forward by Hobson et al (2018) would predict that left hemisphere damage, that disrupts  
9 language processes, should increase alexithymia.

10           In addition to region of damage, it is pertinent to consider how behavioural language  
11 problems pattern with alexithymia in stroke. Aphasia occurs in approximately one third of  
12 acute stroke cases (Engelter et al., 2006). In previous studies (e.g. Spalletta et al., 2001, 2006)  
13 individuals with aphasia were excluded, a decision that may also have decreased evidence of  
14 an association between left hemisphere damage and alexithymia, as patients with acquired  
15 language problems due to damage to left-hemisphere language areas would have been  
16 excluded.

17           If communication problems were a contributing factor to alexithymia and therefore  
18 poorer mental health outcomes, we would arguably expect an association between acquired  
19 communication problems and depression in stroke populations. It had previously been argued  
20 that stroke patients with left hemisphere damage were at greater risk of depression (Robinson  
21 & Price, 1982), however a systematic review of depression in stroke patients did not find  
22 evidence of higher rates of depression in patients with left versus right lesions following  
23 stroke in hospital studies (Carson et al., 2000). They did note a higher incidence in patients  
24 with right hemisphere lesions in community studies, but argued that such studies excluded

1 aphasic patients, likely confounding the association between hemisphere of damage and  
2 depression.

3         The present study seeks to test the hypothesis that acquired language problems are  
4 associated with alexithymia, extending previous neuropsychological evidence from patients  
5 with TBI to consider evidence from patients who have had a stroke. This project was also the  
6 first to examine alexithymia in stroke in a representative sample of stroke patients, in which  
7 individuals with acquired language problems were not excluded, and to include both  
8 behavioural and self-report measures of acquired language and communication problems in  
9 relation to alexithymia. We also examined whether we could replicate previous reports of an  
10 association between alexithymia and right hemisphere damage, without the exclusion of  
11 patients with aphasia.

### 12 **Method**

#### 13 *Participants*

14 125 participants were recruited from a cohort of chronic stroke research volunteers at the  
15 Translational Neuropsychology Group. Patients were originally recruited through the Oxford  
16 Cognitive Screening programme, in which patients from an acute stroke unit are screened at  
17 presentation, and followed up after 6 months with a home visit (Demeyere, Riddoch,  
18 Slavkova, Bickerton, & Humphreys, 2015; OCS-Tablet and OCS recovery studies, NHS REC  
19 reference 14/LO/0648 and 18/SC/0550 respectively). All patients were thus at least 6 months  
20 post-stroke (see Table 1 for average time since stroke at the point at which self-report  
21 questionnaires were administered). These participants had provided consent to be contacted  
22 about research, and provided study specific informed consent to participate in this study  
23 (Medical Sciences Interdivisional Research Ethics Committee, reference R59378/RE001).





### 1 *Measures*

2 Behavioural data on language impairment was collected via the OCS (Oxford Cognitive  
3 Screen; Demeyere et al., 2015, 2016) or the BCoS (Birmingham Cognitive Screen;  
4 Humphreys et al 2012). Depression and anxiety are typically highly correlated with  
5 alexithymia (Eizaguirre, de Cabezon, de Alda, Olariaga, & Juaniz, 2004; Marchesi,  
6 Brusamonti, & Maggini, 2000), and therefore including them is important to ensure that  
7 associations between language impairment and alexithymia are not the result of shared  
8 association with depression or anxiety; thus, in addition to questionnaire measures of  
9 alexithymia and communication problems, we also collected measures of depression and  
10 anxiety. All measures are described below. Behavioural measures are described first,  
11 followed by questionnaire measures.

### 12 *Oxford Cognitive Screen/Birmingham Cognitive Screen*

13 Patients were seen from 6-months post stroke, and assessed using either the Oxford Cognitive  
14 Screen (OCS: Demeyere et al, 2015) or the Birmingham Cognitive Screen (BCoS:  
15 Humphreys, Bickerton, Samson, & Riddoch, 2012). In the present study, data from the  
16 picture naming, spoken word comprehension, and sentence reading tasks were examined. For  
17 both the OCS and BCoS, in the picture naming task, patients were presented with greyscale  
18 drawings and asked to name the object in the picture. For both batteries, the sentence reading  
19 task required patients to read a sentence aloud. In the OCS, in the comprehension task,  
20 patients are presented with simultaneous pictures and asked to point to an item (e.g. “Can you  
21 point to the fruit for me?”). In the BCOS, the comprehension measure is based on how many  
22 times instructions needed to be repeated for 4 target tasks (personal orientation, orientation in  
23 time and space, anosognosia and rule-finding). The level of understanding was then judged as  
24 follows on a 3 point scale as follows: 1=poor understanding even after repetition, 2=relatively

1 good understanding but instructions need often to be repeated, 3=good understanding, almost  
2 no need to repeat the instructions.

3         There was limited variability in the scores on the observed language assessments,  
4 given the nature of the cognitive screening tools with few items, the time of testing post  
5 stroke, and high rates of recovery and improvement for all aphasia types within the period of  
6 6 months following stroke (e.g. (Pedersen, Jorgensen, Nakayama, Raaschou, & Olsen, 1996,  
7 or Demeurisse et al., 1980); indeed no more than 15% of the patients assessed showed  
8 impairments on any of the individual behavioural tasks, which is in keeping with the good  
9 recovery many patients show from initial language problems (Laska, Hellblom, Murray,  
10 Kahan, & Von Arbin, 2001). Participants were categorised into either impaired/not impaired  
11 on the language tasks, using the standard thresholds for the OCS/BCoS. We opted to denote  
12 these patients' problems as "language impaired" rather than "aphasia", given that grouping  
13 was done on the basis of this cognitive screen rather than a full neuropsychological  
14 examination.

### 15         *Toronto Alexithymia Scale*

16 To measure alexithymia, the Toronto Alexithymia Scale (TAS-20) (Parker, Bagby, Taylor,  
17 Endler, & Schmitz, 1993) was used. This is a 20-item scale, including three sub-scales  
18 examining difficulties identifying feelings, expressing feelings and externally orientated  
19 thinking. Participants responded using a 5-point Likert scale. Higher scores indicate greater  
20 alexithymia. Cronbach's alpha for this measure for the current sample was .79, and thus this  
21 measure can be considered to have fair internal reliability. Considering the reliability of  
22 responses for patients in our language-impaired group, Cronbach's alpha was .77, and thus  
23 was still reliable for patients with communication difficulties. As per previous investigations  
24 (Spalletta et al., 2001), the rate of patients surpassing the threshold for high alexithymic traits

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1 was elevated with respect to the general population; 22% of the whole sample were at or  
2 above the criterion for high alexithymia traits (10% of the general population are usually  
3 expected to meet this threshold; Franz et al., 2008).

### 4 *Stroke Impact Scale*

5 The communication section of the Stroke Impact Scale (Version 3.0) (Duncan, Bode, Min  
6 Lai, & Perera, 2003) (SISCOM) was used as a self-report measure of communication  
7 difficulty following stroke. The SISCOM includes 7 questions requiring participants to rate  
8 their difficulties in the last week on a 5-point scale. Higher scores indicate greater self-  
9 reported ability. Cronbach's alpha for the SISCOM for the current sample was .84, and thus  
10 this measure can be considered to have good internal reliability. The reliability for the  
11 patients with language difficulties on the SISCOM was .86; thus, reliability was still good for  
12 patients with communication problems.

13 It was considered possible that a significant association between alexithymia and the  
14 SISCOM could simply be a reflection of general poor self-esteem/low belief in one's abilities  
15 (i.e. there would be an association between reporting one had poor emotional insight and poor  
16 communicative abilities because of a belief that one was poor at everything). The mobility  
17 subscale (SISMOB) of the Stroke Impact Scale was thus also included in analyses to test this  
18 interpretation. This subscale was deliberately selected as the construct of mobility problems  
19 seemed unlikely to overlap with communication or emotion, beyond poor self-esteem. This  
20 subscale has 9 items, answered on a 5-point scale. For the current sample, Cronbach's alpha  
21 for the SISMOB was .94, indicating high internal reliability.

### 22 *Hospital Anxiety and Depression Scale*

23 Hospital Anxiety and Depression Scale (HADS; Zigmond & Snaith, 1983) is a 14-item  
24 questionnaire, with 7 items assessing anxiety symptoms, and 7 assessing depressive

1 symptoms, on a 4 point scale. Higher scores indicate greater anxiety/depression  
2 symptomatology. Previous research has shown these measures to be appropriate for use with  
3 stroke patients (Ayis, Ayerbe, Ashworth, & Wolfe, 2018). In the current sample, Cronbach's  
4 alpha was .74 for the anxiety scale, and .77 for the depression scale. For the sample of  
5 patients with language problems, reliability was .75 and .75, for anxiety and depression  
6 respectively. Thus, across the whole sample and for those patients with language problems,  
7 the HADS subscales had fair internal reliability.

### 8 **Results**

9 Analyses of alexithymia and self-reported communication problems via the SISCOM are  
10 reported first. Alexithymia scores were then compared between the impaired/not impaired  
11 groups, as defined by patients' performance on the behavioural OCS tasks. Because  
12 comparisons of raw alexithymia scores would leave the possibility that differences could be  
13 confounded by the effect of increased depression/anxiety in the language-impaired groups,  
14 these group comparisons were also run on alexithymia scores that had been corrected for  
15 depression and anxiety statistically; specifically analyses were conducted on residual scores  
16 from a regression predicting alexithymia scores from depression and anxiety (see Hogeveen,  
17 Bird, Chau, Krueger, & Grafman, 2016 and Hobson et al., 2018). As reported below, the self-  
18 reported communication and behaviourally observed language problems showed discrepant  
19 relationships with alexithymia. We therefore also compared the self-reported communication  
20 problems between the impaired/not impaired groups to understand the relationship between  
21 these two modes of measurement of communication problems. Finally, we examined whether  
22 we replicated previously reported associations between alexithymia and lesions in the right  
23 hemisphere, and considered potential interactions with stroke type.

1 *Self-reported communication impairments and alexithymia*

2 The degree to which self-reported communication problems (measured via the SISCOM)  
 3 predict alexithymia (measured by the TAS-20) was examined using standard multiple  
 4 regression. Anxiety (HADS-A) and depression (HADS-D) symptoms were also entered as  
 5 predictors, along with gender, age at stroke, years in education and time since stroke (in  
 6 months). While depression, anxiety and alexithymia are commonly highly correlated, all  
 7 Variance Inflation Factors (VIFs) were below 2, indicating minimal problems with  
 8 multicollinearity.

9 Overall, the model was significant:  $F(7, 102) = 8.78, p < .001, R^2 = .38$ . Table 2  
 10 summarises the results for the individual predictors. Significant predictors included SISCOM  
 11 ( $\beta = -0.24, p = 0.01$ ), anxiety ( $\beta = 0.22, p = 0.03$ ), depression ( $\beta = 0.20, p = 0.05$ ) and education  
 12 ( $\beta = -.21, p = .02$ ).

13 [Table 2]

14 In order to consider whether this significant effect could be due to general feelings of  
 15 impairment/low self-esteem, a further separate standard multiple regression was run with the  
 16 addition of the SISMOB. We also included modality of questionnaire collection (home versus  
 17 phone, as only 4 participants returned data via post) to check whether this could have  
 18 impacted the results. The model remained significant overall:  $F(9, 108) = 7.45, p < .001, R^2 =$   
 19  $.40$ . Significant individual predictors included: SISCOM ( $\beta = -0.21, p = 0.03$ ), education ( $\beta =$   
 20  $-.21, p = .01$ ), modality of questionnaire collection ( $\beta = 0.24, p = 0.04$ ), and anxiety ( $\beta = 0.22,$   
 21  $p = 0.03$ ). For a full list of standardised Betas, significance and squared semi-partial  
 22 coefficients for this regression, see Table S.1, in the Supplementary Materials. VIFs for time  
 23 since stroke and modality of response were 2.13 and 2.08 respectively; this reflected the fact  
 24 that those assessed over the phone had longer periods between their stroke events and the  
 25 data being collected. All other VIFs were below 2.

### 1 *Observed language impairments and alexithymia*

2 OCS/BCoS data were available for 116 patients, of which 11.0% were impaired on picture  
3 naming, 2.5% were impaired on spoken word comprehension, and 15.3% were impaired on  
4 sentence reading (1 additional patient's data was not available for the sentence reading task).  
5 A participant was considered to have language impairment if they fell below the cut off for  
6 one or more of these tasks, leading to 31 patients being classed as having a language  
7 impairment, and 84 being classed as having typical language (within the normative ranges).  
8 These groups were compared on the total TAS z-scores (after controlling for depression and  
9 anxiety) and raw TAS total via independent samples t-tests. These comparison were not  
10 significant for either TAS z-scores:  $t(111) = .04, p = .48$  (one-tailed),  $d = .001$  (See Figure  
11 1), TAS raw scores:  $t(113) = -1.34, p = 0.09$  (one-tailed),  $d = .28$ .

12 [Figure 1]

### 13 *Agreement between self-reported and behavioural language measures*

14 Given the discrepant findings regarding alexithymia for the self-reported communication  
15 impairments and the behavioural language impairments, we also compared the impaired/not  
16 impaired groups on their SISCOM scores. Given the high number of non-language impaired  
17 participants reporting no problems, the data were not normally distributed, and thus the non-  
18 parametric Mann-Whitney test was used. There was a significant difference between these  
19 groups:  $U = 870.50, Z = 2.40, p = 0.02$  (see Figure 2). The language-impaired participants  
20 reported worse communication skills ( $Mdn = 30.50, IQR = 10.75, N = 30$ ) than the non-  
21 impaired participants ( $Mdn = 34.00, IQR = 5.00, N = 82$ ), with a small effect size ( $r = 0.23$ ).

22 [Figure 2]

23

### 1 *Alexithymia in right versus left hemisphere damage*

2 For patients with clear lateralisation of stroke damage, we compared patients with right  
 3 versus left hemisphere damage on their alexithymia scores. Patients with right hemisphere  
 4 damage ( $N=57$ , Mean TAS score = 48.30, SD = 13.94) were not significantly higher in  
 5 alexithymia scores than those with left hemisphere damage ( $N=51$ , Mean TAS score =  
 6 51.45, SD = 11.40):  $t(105.18) = -1.29$ ,  $p = .20$  (two-tailed),  $d = .25$ . When this was examined  
 7 with only patients who were not language-impaired, there was still not a significant  
 8 difference between patients with right hemisphere damage ( $N=37$ , Mean TAS Score= 46.57,  
 9 SD = 12.38) and left hemisphere damage ( $N=28$ , Mean TAS Score = 51.14, SD = 11.21);  $t$   
 10 (63) = -1.46,  $p = .15$  (two-tailed),  $d = .17$ .

11 Potential effects of stroke type (haemorrhage vs. ischemic) and interactions between  
 12 stroke type and hemisphere of damage were explored: there were no significant differences  
 13 between haemorrhage and ischemic stroke patients in terms of their SISCOM ( $p = .23$ , two-  
 14 tailed,  $d = .31$ ) or alexithymia scores ( $p = .74$ , two-tailed,  $d = .08$ ), nor any significant  
 15 interactions between these factors on SISCOM or alexithymia scores (TAS:  $p = .63$ , Partial  
 16 Eta Squared = .008; SISCOM:  $p = .96$ , Partial Eta Squared = .001).

### 17 **Discussion**

18 This study aimed to examine the role of language impairment in acquired alexithymia,  
 19 in a large group of stroke patients. While previous studies of alexithymia have excluded  
 20 stroke patients with language impairments, this project assessed a representative sample of  
 21 chronic stroke patients, not selected based on lesion side or any particular cognitive  
 22 impairment. Different findings arose from the self-reported versus observed language  
 23 measures: while self-reported communication impairments were predictive of alexithymia,  
 24 alexithymia did not differ between language-impaired versus not impaired stroke patients, as

1 determined by a stroke-specific cognitive screen. Fewer years in education also predicted  
2 alexithymia, an association that has been reported previously in other samples (Lane,  
3 Sechrest, & Riedel, 1998). Participants assessed at home were also found to be more  
4 alexithymic than those who reported their alexithymic traits over the phone. The findings thus  
5 only partly support the language-hypothesis of alexithymia put forward by Hobson et al  
6 (2019). Here, we discuss potential explanations, including what different aspects of  
7 communication and language the behavioural versus self-report measures may represent.

8         The difference in associations with alexithymia between the two communication  
9 measures (self-report versus behavioural tests) could reflect a number of things. First, it could  
10 be the case that the cognitive screening subtests, relative to the self-report measures, are less  
11 sensitive. Subtle language and communication problems might disturb daily communication  
12 for the patients, yet not be reflected in performance on the cognitive screening tests. The lack  
13 of association between the behavioural language measures and alexithymia could thus be  
14 symptomatic of the smaller impaired group size, or because these measures do not reflect the  
15 communicative impairment some patients are experiencing.

16         Second, it could be the case that the two measures index rather different aspects of  
17 language. The language skills assessed by the behavioural assessments relate to structural  
18 language problems. However, patients' daily communication with others may be disrupted  
19 due to pragmatic language impairments. Indeed, few structural language assessments are  
20 sensitive to pragmatic language problems, even though these difficulties have very real  
21 impacts on participants' communication with those around them. An example in the field of  
22 developmental disorders of language and communication comes from studies of the overlap  
23 between autism and developmental language disorder: parents of children with autism self-  
24 reported communication difficulties, but these were not apparent in behavioural tests (see  
25 Bishop, 2010). The authors suggested that the behavioural tests were not sensitive to the



1 pragmatic language difficulties experienced by these participants. In the present study, the  
2 SISCOM scores of language impaired/not impaired groups (categorised on the basis of their  
3 behavioural tests) were compared, and it was found those with behaviourally-defined  
4 language impairments did report poorer communication at the group level. This would  
5 suggest that the SISCOM and behavioural tests reflect overlapping constructs at least to some  
6 extent, but future work should consider more in-depth assessment of pragmatic language  
7 problems.

8         We can rule out that the associations between self-reported communication problems  
9 and alexithymia are simply reflective of poor self-esteem or shared method variance. If this  
10 were the case, we would expect not just the SISCOM component of the Stroke Impact Scale  
11 but also other components of this scale to predict increased alexithymia. We examined  
12 whether self-reported mobility problems were also predictive of alexithymia: self-reported  
13 difficulties in mobility were not associated with alexithymia, and even after adding this  
14 measure to our regression, self-reported communication problems remained a significant  
15 predictor. Thus, the association between alexithymia and SISCOM is not merely reflective of  
16 low self-esteem or shared method variance, given the specific association between  
17 alexithymia and self-reported communication problems.

18         In addition to associations with language, we also examined the associations between  
19 alexithymia and hemisphere of damage. Previous findings suggesting alexithymia is more  
20 likely to result from right than left hemisphere stroke were not replicated (Spalletta et al.,  
21 2001, 2006). The number of patients included in this comparison were double those reported  
22 in Spalletta et al (2001), so potentially the disagreement between ours and Spalletta et al.'s  
23 findings reflect increased statistical power. In addition, a key difference between our sample  
24 and the samples of previous studies is that our exclusion criteria did not include language  
25 impairment. It is possible previous studies, by adopting criteria that excluded patients with

1 language problems, missed a role for left hemisphere damage in alexithymia. An additional  
2 analysis was conducted to investigate whether limiting our analyses only to patients who  
3 were not considered to have language impairment (as per the selection criteria in previous  
4 studies) could have led to the different results of the present study: even when language  
5 impaired patients were not included in the analyses, patients with right hemisphere damage  
6 did not show significantly higher alexithymic traits. Our findings thus suggest that  
7 alexithymia can result from either right or left hemisphere damage, and highlight the need  
8 for clinicians to be watchful for emotional deficits following brain injury, regardless of  
9 hemisphere of damage.

10         The reported associations are thus only partly supportive of the hypothesis that  
11 language processes have a role in alexithymia. Unlike in Hobson et al (2018) associations  
12 between alexithymia and behavioural language measures were not found. It is possible this is  
13 due to the use of briefer screening instruments in the current study. In Hobson et al (2018) the  
14 full Boston picture naming task was used, but the OCS/BCoS measures employed here are  
15 designed to provide a rapid and holistic picture of a patient's functioning, rather than a  
16 detailed neuropsychological language assessment.

17         There are pressing outstanding questions that future research should seek to address.  
18 The first is the direction of the association between alexithymia and communication  
19 problems. While the language hypothesis of alexithymia as outlined in Hobson et al (2019)  
20 argues that language dysfunction underlies problems in emotional functioning, it is logically  
21 possible that the relationship could go in the other direction, or be bi-directional in nature.  
22 Individuals with alexithymia have reduced empathy (Bird et al., 2010; Grynberg, Luminet,  
23 Corneille, Grèzes, & Berthoz, 2010) and report increased interpersonal problems (Zarei &  
24 Besharat, 2010): these problems could lead to reduced opportunities to communicate socially,  
25 and thus be reflected in self-reports of communicative dysfunction in the SISCOM. This

1 model would perhaps also explain why associations arose for the SISCOM but not with  
2 observed language assessment outcomes. A more detailed self-report measure of  
3 communicative dysfunction could help to disentangle such questions in the future. Although  
4 the reliability of the self-report measures was acceptable, observer report measures of  
5 alexithymia and communication problems, given by family members or those close to  
6 patients, may also provide additional insight.

7         A practical point for future researchers to resolve is that it is unclear why modality of  
8 response (over the phone versus home assessment) would impact alexithymia scores. Even  
9 after including this variable in the regression, SISCOM continued to independently predict  
10 alexithymia, so this effect was not due to differences in the communication abilities of home  
11 versus phone assessed patients. Participants had the option of how they wished to return their  
12 data, and possibly those assessed over the phone were more frail: phone-assessed participants  
13 scored higher on the SISMOB than those assessed at home, more time had passed since their  
14 stroke, and tended to have higher anxiety symptoms (although aside from anxiety, these  
15 factors were also included in the regressions and were not predictive of alexithymia in  
16 themselves).

17         Another possibility for future research would be to utilise the typical recovery from  
18 aphasic symptoms during the first few months post-stroke to examine whether short-term  
19 language impairments produce transient alexithymic problems. In the current study, the  
20 questionnaire measure for alexithymia was delivered at 6 months post stroke, or later, after  
21 the majority of recovery from aphasic symptoms would have taken place. There was no  
22 measure available for alexithymia at the acute stage. Nonetheless, future research examining  
23 alexithymia and aphasia in stroke patients could take both acute and chronic measures of both  
24 constructs to investigate this question further.

1           Finally, if language difficulties lead to alexithymia in stroke, it would be expected that  
2 post-stroke depression and anxiety would also pattern, at least partially, with aphasia. There  
3 is some support for the idea that depression after stroke is increased in aphasic cases (Carota  
4 et al., 2011; Salminen, Saarijärvi, Äärelä, Toikka, & Kauhanen, 1999), and that post-stroke  
5 anxiety is associated with left hemisphere damage (Barker-Collo, 2007) although such an  
6 association could reasonably be due to associations between depression or anxiety and the  
7 severity of general disability following stroke, rather than a specific role of language in  
8 emotional functioning per se. In the present sample, the language impaired group did report  
9 higher anxiety and depression symptoms.

10           To conclude, the present study found associations between self-reported  
11 communication impairment and alexithymia. Behavioural language impairments were not  
12 associated with alexithymia, and patients with left versus right hemisphere damage did not  
13 differ in their alexithymia. These results may indicate an association with pragmatic or more  
14 subtle communication impairments and alexithymia, or could reflect a relationship between  
15 communication problems and alexithymia in which alexithymia-related interpersonal  
16 problems underlie communicative breakdown, and feelings of communicative incompetence.

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24

**Table 1** Participant sample characteristics

Sample Characteristics	Category	Proportion of Patients (N = 118) / Mean (SD)
Gender	Male	.53
Handedness	Left	.04
	Right	.95
	Ambidextrous	.01
Aetiology	Haemorrhage	.20
	Ischaemia	.80
Lesion Lateralisation	Unilateral left hemisphere	.43
	Unilateral right hemisphere	.48
	Bilateral	.09
Time since stroke at questionnaire collection (months)		28.04 (24.43)
Age at stroke (years)		73.14 (11.37)
Education (years)		12.38 (2.75)

1

2

**Table 2** Standardised Betas, significance and squared semi-partial coefficients for predictors of

TAS-20

	$\beta$	$p$	<i>Squared semi-partial coefficients</i>
SISCOM	-0.24	0.01	0.04
HADS Anxiety	0.22	0.03	0.03
HADS Depression	0.20	0.05	0.03
Time since stroke	-0.09	0.30	0.01
Gender	-0.03	0.75	0.001
Age at stroke	-0.06	0.48	0.004
Education	-0.21	0.02	0.04

1

2 TAS-20 = Toronto Alexithymia Scale; SISCOM = Stroke Impact Scale Communication

3 scale; HADS= Hospital Anxiety and Depression. Note that squared semi-partial coefficients

4 represent the unique proportion of variance attributed to the predictor, i.e. 0.01 represents 1%

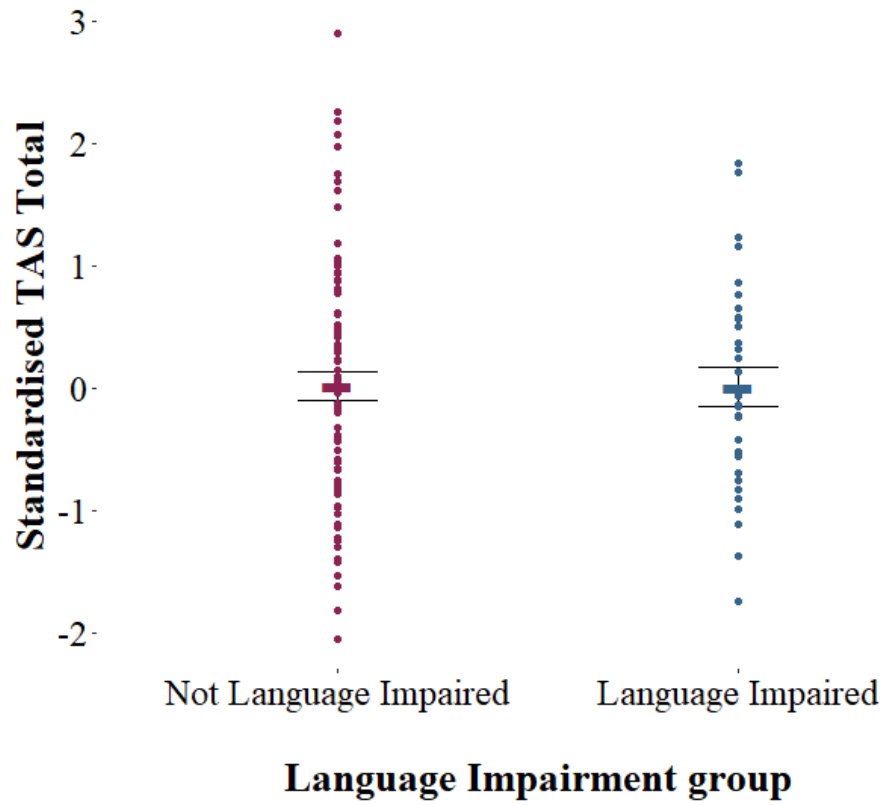
5 of variance of alexithymia explained.

6

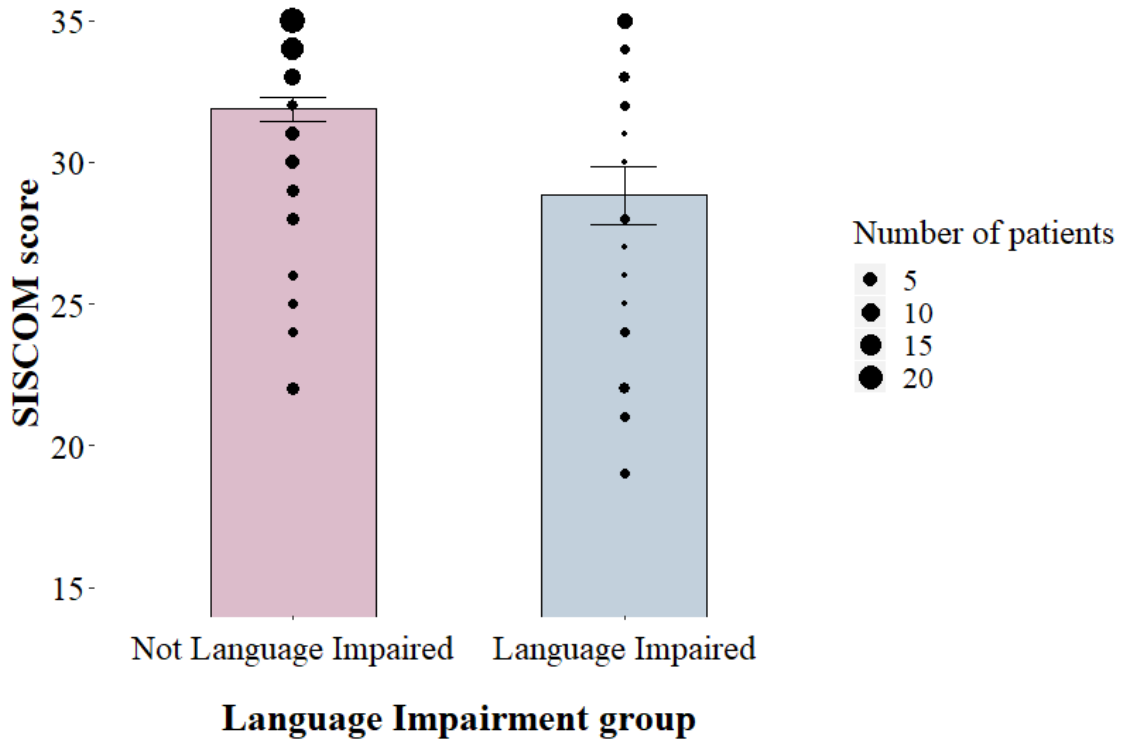
1

**Figure 1** Standardised alexithymia scores for language impaired and unimpaired patients. The coloured bars represent the mean for either group. Error bars represent standard error.

2



- 1 **Figure 2** SISCOM scores for language impaired and unimpaired patients. Bars represent the
- 2 mean scores for the group. Error bars represent standard error. Size of point is used to
- 3 indicate the number of patients with the same score.



4

5